

This brochure supplement provides information about Stephen Aaron Jones that supplements the Nicholas Wealth Management brochure. You should have received a copy of that brochure. Please contact Stephen Aaron Jones if you did not receive Nicholas Wealth Management's brochure or if you have any questions about the contents of this supplement.

Additional information about Stephen Aaron Jones is also available on the SEC's website at www.adviserinfo.sec.gov.

Nicholas Wealth Management

Form ADV Part 2B – Individual Disclosure Brochure

for

Stephen Aaron Jones

Personal CRD Number: 7483960

Investment Adviser Representative

Nicholas Wealth Management
218 Roswell St NE, Suite 200
Marietta, GA 30060
(404) 890-5606
aaronjones@nicholaswealth.com

UPDATED: 04/16/2025

Item 2: Educational Background and Business Experience

Name: Stephen Aaron Jones **Born:** 1996

Educational Background and Professional Designations:

Education:

Bachelor of Science Accounting and Finance, Southeastern University - 2018

Designations:

CPA - Certified Public Accountant

- CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination.
- In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two year period or 120 hours over a three year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services.
- In addition to the *Code of Professional Conduct*, AICPA members who provide personal financial planning services are required to follow the *Statement on Standards in Personal Financial Planning Services* (SSPFPS).

Business Background:

12/2021 - Present

Investment Adviser Representative
Nicholas Wealth Management

08/2018 - 11/2021

Business Assurance Senior Associate
Moore Colson

01/2012 - 05/2018

Student
High School/College

01/2018 - 05/2018

Intern
Clifton Larson Allen

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Mr. Jones is not engaged in any investment-related business or occupation (other than this advisory firm).

Item 5: Additional Compensation

Mr. Jones does not receive any economic benefit from any person, company, or organization, other than Nicholas Wealth Management in exchange for providing clients advisory services through Nicholas Wealth Management.

Item 6: Supervision

As a representative of Nicholas Wealth Management, Stephen Jones' daily activities are supervised by David Nicholas, the firm's President and Founder. Nicholas Wealth Management has contracted with Key Bridge Compliance, LLC to perform compliance oversight activities for the Adviser. The Chief Compliance Officer, Stacy Fleming, is responsible for administering the compliance program and monitoring activities regarding policies and procedures outlined in the firm's Code of Ethics and Compliance Manual. Any significant compliance deficiencies will be reported to Mr. Nicholas. The phone number for David Nicholas is (404)890-5606. Stacy Fleming, Chief Compliance Officer, can be reached at (859)402-1458 or sfleming@kbc.team.